

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1 Application Serial No.09/714,315
2 Filing Date March 25, 2004
3 Inventorship Greener et al.
4 Applicant Goldman, Sachs and Co.
5 Group Art Unit 3624
6 Examiner Kelly Scaggs Campen
7 Attorney's Docket No. GS1-0006US
8 Title: Automated Online Sales Risk Management System
9

10 **RESPONSE TO FINAL OFFICE ACTION DATED MARCH 27, 2006 AS**
11 **PRELIMINARY AMENDMENT FOR AN RCE**
12

13 To: Commissioner of Patents and Trademarks
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17 From: Mark Farrell (Tel. 509-324-9256 ext 243; Fax 509-323-8979)
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INTRODUCTORY COMMENTS

Applicant respectfully requests entry of the following amendment and remarks contained herein in response to the Office Action mailed March 27, 2006 as a Preliminary Amendment for an RCE. Applicant respectfully submits that the amendment and remarks contained herein place the instant application in condition for allowance.

A Listing of the Claims begins on page 3 of this document.

Remarks begin on page 13 of this document.